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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Our file: 7235/6 Art Unit: 2127  
Applicant: Michael Willoughby Examiner:  
Serial No.: 10/804,465  
Filing Date: 03/19/04  
Title: System and Method for Processing E-Commerce Transactions

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

1. Transmittal of Information Disclosure Statement
2. Form PTO-1449
3. Copies of References from Form PTO-1449
4. Transmittal Sheet
5. Postcard Receipt

CONDITIONAL PETITION

If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor. Please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, **Account No. 06-2143**. A duplicate copy of this letter is enclosed.

Respectfully submitted,

8/12/04  
Date

Michael R. Friscia  
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enc.

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage prepaid, to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 8/12/04.

By Janelle Fava  
Janelle Fava

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
7235/6

In Re Application Of: **Michael Willoughby**

AUG 16 2004

EXAMINER  
PATENT & TRADEMARK OFFICE

Application No.	Filing Date	Customer No.	Group Art Unit	Confirmation No.
10/804,465	03/19/04	20694	2127	7210

Title: **System and Method for Processing E-Commerce Transactions**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

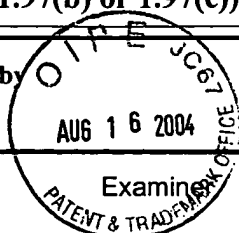
**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
7235/6

In Re Application: Michael Willoughby



Application No.

Filing Date

Examination

Customer No.

Group Art Unit

Confirmation No.

10/804,465

03/19/04

20694

2127

7210

**System and Method for Processing E-Commerce Transactions**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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Signature of Person Mailing Correspondence

Janelle Fava

Typed or Printed Name of Person Mailing Certificate


\*This certificate may only be used if paying by deposit account.

Signature

Dated: 8/12/04

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Fax: (973) 530-2224

CC:

 <p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>7235/6</b>	Application Number <b>10/804,465</b>
	Applicant(s) <b>Michael Willoughby</b>	
	Filing Date <b>03/19/04</b>	Group Art Unit <b>2127</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	6,542,912	04/01/2003	Meltzer, et al.	707	501.1	01/10/2002
	2	6,154,738	11/28/2000	Call	707	4	05/21/1999
	3	6,125,391	09/26/2000	Meltzer, et al.	709	223	10/16/1998

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	US 2003/0074279 A1	04/17/2003	Viswanath, et al.	705	27	10/17/2001
	5	US 2003/0074271 A1	04/17/2003	Viswanath, et al.	705	26	10/17/2001
	6	US 2002/0169842 A1	11/14/2002	Christensen, et al.	709	206	04/01/2002
	7	US 2002/0165872 A1	11/07/2002	Meltzer, et al.	707	500	01/10/2002

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	8	"Invisible E-Commerce--Transaction Processing Over the Internet," printout from <a href="http://www.mcpressonline.com">http://www.mcpressonline.com</a> (6 pages).
	9	"LANSA Integrator," printout from <a href="http://www.lansa.com/products/integratoroverview.htm">http://www.lansa.com/products/integratoroverview.htm</a> (4 pages).

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	10	US 2002/0099735 A1	07/25/2002	Schroeder, et al.	707	513	01/19/2001
	11	US 2002/0091818 A1	07/11/2002	Cascio, et al.	709	224	01/05/2001
	12	US 2002/0035583 A1	03/21/2002	Price, et al.	707	513	09/14/2001
	13	US 2002/0013721 A1	01/31/2002	Dabbieri, et al.	705	7	05/21/2001

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